400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

www.axiswp.com

January 3, 2025

Form ADV Part 2B – Brochure Supplement

For

Marc R. Bastien

Investment Advisor Representative

This brochure supplement provides information about Marc Bastien that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Marc Bastien if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Marc Bastien is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 7825882.

Item 2: Educational Background and Business Experience

Marc Robert Bastien

Born: 1970

Educational Background

- 1995 – Bachelor of Arts, Graphic Design, Rhode Island College

Business Experience

- 12/2024 – Present, Axis Wealth Partners, LLC, Investment Advisor Representative
- 01/2022 – Present, Good Counsel Tax Service Inc., Tax Preparer
- 10/2023 – 12/2024, Randall Financial Group, LLC, Investment Advisor Representative
- 10/2017 – 12/2021, Silgan Dispensing, Human Resources Specialist

Professional Designations

CERTIFIED FINANCIAL PLANNER™ professional

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct ("Code and Standards")*, which sets forth the ethical and practice standards for

CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Marc Bastien is a tax preparer with Good Counsel Tax Service, Inc.

Item 5: Additional Compensation

Marc Bastien does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

ddaponte@axiswp.com
www.axiswp.com

Dated September 29, 2026

Form ADV Part 2B – Brochure Supplement

For

Daniel Da Ponte

Managing Principal, Senior Financial Advisor and Chief Compliance Officer

This brochure supplement provides information about Daniel Da Ponte that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Daniel Da Ponte if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Daniel Da Ponte is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 4351051.

Item 2: Educational Background and Business Experience

Daniel Da Ponte

Born: 1978

Educational Background

- 2000 – Bachelor of Science, Business Administration, University of Rhode Island
- 2009 – Graduate Certificate in Personal Financial Planning, Kansas State University
- 2014 – Master's Degree in Personal Financial Planning, Kansas State University

Business Experience

- 03/2013 – Present, Axis Wealth Partners, LLC, Managing Principal, Senior Financial Advisor and Chief Compliance Officer
- 03/2013 – Present, Axis Risk Management, LLC, Managing Member
- 01/1999 – 01/2019, State of Rhode Island, State Senator
- 10/2005 – 03/2013, Axis Financial Group Inc., Registered Representative
- 05/2005 – 03/2013, Commonwealth Financial Network, Registered Representative

Professional Designations, Licensing & Exams

Accredited Investment Fiduciary® (AIF®): The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF® Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3: Disciplinary Information

Daniel Da Ponte does not have a disciplinary history to report.

Item 4: Other Business Activities

Daniel Da Ponte is licensed to sell life and health insurance through Axis Risk Management, LLC. He may engage in product sales with our clients, for which he will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under Axis. While Daniel Da Ponte endeavors at all times to put the interest of our clients first as part of our firm's fiduciary duty, you should be aware that the receipt of additional compensation itself creates a conflict of

interest and may affect their judgment when making recommendations. This activity accounts for about 2 hours a week, sometimes during trading hours.

Mr. Da Ponte is a Managing Partner of Magellan Atlantic Capital Management, LLC, which serves as the manager of Magellan Atlantic Capital Fund, LLC, a private investment vehicle focused on real estate investments in Portugal. Mr. Da Ponte devotes approximately 15% of his time to this activity. This activity presents a potential conflict of interest because Mr. Da Ponte has an economic interest in the fund and has a financial incentive to recommend the fund to clients. Axis Wealth Partners will disclose this conflict to clients and will act in the best interests of its clients when making any investment recommendations.

Mr. Da Ponte is the owner of MDD Realty, LLC, ASD Partners, LLC, and AxisKids, Lda – Portugal, each a real estate investment company.

As well as his business endeavors, Mr. Da Ponte volunteers as a Board Member of the Greater Providence YMCA and The Providence Country Day School.

Item 5: Additional Compensation

Daniel Da Ponte does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as Managing Principal, Senior Financial Advisor and Chief Compliance Officer of Axis, is responsible for supervision and supervises personnel and the investments made in client accounts. Mr. Da Ponte monitors the investments to ensure they are suitable for the client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. He may be contacted at the phone number on this brochure supplement.

400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

sdurkin@axiswp.com
www.axisadvisorsgroup.com

March 2, 2023

Form ADV Part 2B – Brochure Supplement

For

Sean P. Durkin, CRPC®

Partner and Senior Financial Advisor

This brochure supplement provides information about Sean Durkin that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Sean Durkin if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Sean Durkin is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 4284662.

Item 2: Educational Background and Business Experience

Sean P. Durkin, CRPC®

Born: 1966

Educational Background

- 1998 – Master of Business Administration, Bentley University
- 1990 – Bachelor of Science in Business Administration, University of Massachusetts – Lowell

Business Experience

- 01/2023 – Present, Axis Wealth Partners, LLC, Partner and Senior Financial Advisor
- 01/2023 – Present, Axis Risk Management, LLC,
- 09/2005 – 01/2023, Ameriprise Financial Services, Inc., Registered Representative
- 01/2000 – 07/2006, IDS Life Insurance Company, Registered Representative
- 10/2000 – 09/2005, American Express Financial Advisors Inc., Registered Representative

Professional Designations

Chartered Retirement Planning Counselor™ (CRPC®): Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Sean Durkin is licensed to sell life and health insurance through Axis Risk Management, LLC. He may engage in product sales with our clients, for which he will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under Axis. While Sean Durkin endeavors at all times to put the interest of our clients first as part of our firm's fiduciary duty, you should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect their judgment when making recommendations. This activity accounts for about 2 hours a week, sometimes during trading hours.

Item 5: Additional Compensation

Sean Durkin does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

mleonardo@axiswp.com
www.axisadvisorsgroup.com

March 2, 2023

Form ADV Part 2B – Brochure Supplement

For

Michael G. Leonardo

Investment Advisor Representative

This brochure supplement provides information about Michael Leonardo that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Michael Leonardo if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Michael Leonardo is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 3236871.

Item 2: Educational Background and Business Experience

Michael G. Leonardo

Born: 1957

Educational Background

- 1979 – Bachelor of Arts, in Finance, University of Massachusetts

Business Experience

- 11/2020 – Present, Axis Wealth Partners, LLC, Investment Advisor Representative
- 11/2020 - Present, Axis Risk Management, LLC, Insurance Agent
- 09/2005 – 11/2020, Amerprise Financial Services, Inc., Registered Representative and Financial Advisor
- 05/1999 – 07/2006, IDS Life Insurance Company, Registered Representative

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Michael Leonardo is licensed to sell life and health insurance through Axis Risk Management, LLC. He may engage in product sales with our clients, for which he will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under Axis. While Michael Leonardo endeavors at all times to put the interest of our clients first as part of our firm's fiduciary duty, you should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect their judgment when making recommendations. This activity accounts for about 2 hours a week, sometimes during trading hours.

Item 5: Additional Compensation

Michael Leonardo does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

jmcauliffe@axiswp.com
www.axisadvisorsgroup.com

March 2, 2023

Form ADV Part 2B – Brochure Supplement

For

Jane E. McAuliffe

Partner and Investment Advisor Representative

This brochure supplement provides information about Jane McAuliffe that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Jane McAuliffe if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Jane McAuliffe is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 3031341.

Item 2: Educational Background and Business Experience

Jane Elizabeth McAuliffe

Born: 1973

Educational Background

- 1995 – Bachelor of Science, Management, Bryant University

Business Experience

- 06/2017 – Present, Axis Wealth Partners, LLC, Partner and Investment Advisor Representative
- 07/2017 – Present, Collaborative Divorce Strategies, President,
- 07/2015 – 06/2017, Forbes Financial Planning, Inc., Financial Advisor
- 07/2013 – 06/2015, The Shuster Group, Key Account Sales

Professional Designations

Certified Divorce Financial Analyst® CDFA® certificate holders are governed by the Institute for Divorce Financial Analysts (IDFA™) which is dedicated to the certification, education, and promotion of the use of financial professionals in the divorce arena. The IDFA provides comprehensive training using a variety of knowledge and skill-building techniques. Candidates learn how to help their clients with determinative financial issues related to divorce and receive the CDFA® designation after successfully completing the course and qualifying examinations. Upon course completion, candidates must pass three 2-hour multiple choice examinations and a fourth comprehensive case study examination demonstrating proficiency with the Institute's proprietary software. To retain the CDFA designation, you must also obtain 15 divorce-related hours of continuing education every two years.

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Jane McAuliffe is also the President of Collaborative Divorce Strategies, a firm offering financial coaching and analysis during divorces. This activity accounts for approximately 40% of her time.

Item 5: Additional Compensation

Jane McAuliffe does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

400 Massasoit Avenue, Suite 112
East Providence, Rhode Island, 02914

Phone: (401) 441-5111
Fax: 800-867-5954

jpinheiro@axiswp.com
www.axiswp.com

March 2, 2023

Form ADV Part 2B – Brochure Supplement

For

Emanuel J. Pinheiro

Investment Advisor Representative

This brochure supplement provides information about Emanuel Pinheiro that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Emanuel Pinheiro if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Emanuel Pinheiro is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 5623110.

Item 2: Educational Background and Business Experience

Emanuel James Pinheiro

Born: 1986

Educational Background

- 2008 – Bachelor of Business Administration, University of Rhode Island
- 2008 – Bachelor of Arts, Economics, University of Rhode Island

Business Experience

- 02/2021 – Present, Axis Wealth Partners, LLC, Investment Advisor Representative
- 06/2016 – 02/2021, Horizon Benefits, LLC, Principal
- 05/2013 – 05/2016, Insurance Agent, Self Employed
- 12/2013 – 12/2015, MML Investors Services, LLC, Registered Representative
- 10/2013 – 12/2015, MassMutual Life Insurance Company, Agent
- 07/2012 – 05/2013, Fidelity Investments, Internal Wholesaler
- 01/2009 – 07/2012, NY Life Securities LLC, Registered Representative
- 12/2008 – 07/2012, New York Life Insurance Company, Agent

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Emanuel Pinheiro is licensed to sell life and health insurance through Horizon Benefits, LLC. He may engage in product sales with our clients, for which he will receive additional compensation. Any commissions received through life or health insurance sales do not offset advisory fees the client may pay for advisory services under Axis. While Emanuel Pinheiro endeavors at all times to put the interest of our clients first as part of our firm's fiduciary duty, you should be aware that the receipt of additional compensation itself creates a conflict of interest and may affect their judgment when making recommendations. This activity accounts for about 80 hours a month, sometimes during trading hours.

Also, Mr. Pinheiro is the owner of a rental property. He spends about 10 hours a month on this endeavor.

Item 5: Additional Compensation

Emanuel Pinheiro does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

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Fax: 800-867-5954

www.axiswp.com

January 17, 2025

Form ADV Part 2B – Brochure Supplement

For

Matthew W. Sweet, CFP®

Financial Advisor

This brochure supplement provides information about Matthew Sweet that supplements the Axis Wealth Partners, LLC ("Axis") brochure. A copy of that brochure precedes this supplement. Please contact Matthew Sweet if the Axis brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Matthew Sweet is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number 5583950.

Item 2: Educational Background and Business Experience

Matthew William Sweet

Born: 1968

Educational Background

- 1996 – Masters of Business Administration, Providence College
- 1993 – Bachelor of Arts, Humanities, Providence College

Business Experience

- 01/2025 – Present, Axis Wealth Partners, LLC, Financial Advisor
- 05/2010 – Present, Good Counsel Tax Service Inc., Manager
- 08/2008 – 01/2025, Randall Financial Group, LLC, Investment Advisor Representative
- 03/1997 – 08/2008, Bank of America, Trust Officer

Professional Designations

CERTIFIED FINANCIAL PLANNER™ professional

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- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP®*

Professionals Seeking Reinstatement and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct ("Code and Standards")*, which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

Item 3: Disciplinary Information

No management person at Axis Wealth Partners, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Matthew Sweet is the manager of Good Counsel Tax Service, Inc. Additionally, he is the owner of Heritage Park Farm, a family farm.

Item 5: Additional Compensation

Matthew Sweet does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Axis.

Item 6: Supervision

Daniel Da Ponte, as President and Chief Compliance Officer of Axis, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.