THE AXIS ADVISOR ADVANTAGE

Scale, Succession, Partnership

Whether you are an financial advisor at a wirehouse or broker dealer, looking to go out on your own or you have a well-established firm and are seeking greater scale and growth or a succession plan, Axis Wealth Partners can help.

For almost two decades, our turnkey advisory platform has enabled independent advisors to grow and deliver a better client experience. From admin support to technology to compliance to a collaborative and collegial environment, we combine all the support you would expect from a broker dealer with a boutique approach AND a higher payout.

Daniel Da Ponte founded Axis Wealth Partners, LLC after spending the early part of his career at a wire house and then an independent broker/dealer. He believed that investors—and their financial advisors--deserved more than either model could deliver. They needed a partner that would provide unbiased advice, independent, research-driven investment management, and state-of-the-art financial planning software and technology.

WHEN YOU JOIN AXIS, YOU BENEFIT FROM...

- Practice transition support and administration
- Turnkey advisory platform
- State-of-the-art financial planning, CRM and portfolio accounting software
- Institutional custodians Charles Schwab & Co., Fidelity Investments and independent investment managers
- Competitive errors and omissions insurance coverage
- Comprehensive compliance platform
- Practice development and marketing support
- Greater revenue payouts

AXIS WEALTH PARTNERS MAY BE RIGHT FOR ADVISORS WHO...

- Have fee-based assets under management of between $15 million and $100 million
- Are seeking to focus solely on their clients within a fee-only RIA providing financial planning and wealth management services
- Want independence and ensure their clients belong to them
- Are looking to outsource the responsibility of firm compliance and administration
- Want the ability to monetize their practice and have a succession plan in case of an untimely life event
- Are looking to grow a lifestyle practice while increasing their compensation

Change is never easy. The prospect of re-papering client accounts alone is enough to pause many advisors from making a move. Fortunately, our technology, resources, and experience allow us to facilitate this process.

If you believe in the fiduciary model like we do, our independent structure and turnkey platform could provide everything you are looking for. And more.

AXIS CASE STUDY

Advisor seeking higher profitability and custom succession plan

Michael became a financial advisor at age 42 as a second career after working in a successful family business. For 21 years he worked at a large national broker/dealer and generated $437,000 in gross fee-based revenue every year. His firm kept a 25% cut on fee-based accounts, leaving Michael with $327,750.

Additionally, Michael's grid payout was 80% so he really kept $262,200 before paying $24,000 in associated costs such as technology, compliance, and ticket charges. So, before paying his office costs and administrative assistant, he actually kept just $238,200.

Essentially, his real payout before office expenses was 54.5%.

Michael was not only looking for a higher payout, but also a more collegial environment, focused on idea sharing and collaboration. He also wanted to make sure that there was a sound succession plan in place that would make sure his clients were taken care of.

By joining Axis, Michael's true payout started at 80%.* On his gross revenues of $437,000, he would have actually kept $349,600, an annual increase of $111,400, plus the elimination of the broker/dealer costs of $24,000 for a total increase of $135,400.

**80% payout percentage is based on gross fee revenues. Miscellaneous fees may apply.*

FOR MORE INFORMATION, CONTACT:

Dan Da Ponte, AIF®

401.288.1388

ddaponte@axiswp.com

OR VISIT:

Please note: *All requests for additional information are strictly confidential.*